

RENEWAL FOR MORTGAGE BANKER LICENSE

Main Office Renewal Fee \$500

To: NEVADA DEPARTMENT OF BUSINESS AND INDUSTRY
DIVISION OF MORTGAGE LENDING
Mail to: 400 W King Street Suite 101
Carson City NV 89703

From:

Pursuant to NRS 645E.280, the renewal application and all of the applicable supporting documentation listed on page two, together with the \$500 fee must be received on or before December 31st. To insure your renewed license will reach you prior to the expiration date, please submit your renewal well in advance of the expiration. If the application is submitted after December 31st, a reinstatement fee of \$200 will be required (in addition to the \$500 license fee).

INCOMPLETE APPLICATIONS WILL BE RETURNED INCLUDING FEES

REINSTATEMENT FEES APPLY IF NOT CORRECTLY RESUBMITTED BY DECEMBER 31, 2006

Company Name: _____

Corporate name if any: _____

Main Office Address: _____
Street

City State Zip

Qualified Employee: _____

Telephone number: _____ Fax number: _____

E-mail: _____
(Mandatory, all future renewals/assessments will be sent via e-mail only)

Person completing this form: _____

Telephone number (If different than above): _____

Fax number (If different than above): _____

Please complete the following:

Names of all owner (s), (legal entities or natural persons) Attach a separate sheet if necessary.	Percentage of interest held	Does owner conduct business on behalf of banker in Nevada?
1. _____	_____ %	_____ Yes _____ No
2. _____	_____ %	_____ Yes _____ No
3. _____	_____ %	_____ Yes _____ No
4. _____	_____ %	_____ Yes _____ No

The above must total 100%

The licensed entity must provide:

1. A completed renewal application.
2. A completed Non-Personal Disclosure Form with explanations of any “yes” answers.
3. Check for \$500 payable to the Division of Mortgage Lending.

Additionally the following documentation is required for:

- **Qualified Employee.**
 - **All natural persons with an ownership interest of 25% or more.**
1. A completed Personal Disclosure Form with explanations of any “yes” answers. Answers should pertain to the individual’s personal information.
 2. Child Support Statement. ***The child support statement is required regardless if the QE or owner has children or is subject to any obligations***

The undersigned declare, under penalties of perjury, the I/we have read the foregoing, including matters incorporated by reference, and they are true to the best of my/our knowledge and belief.

Signature of principal officer or qualified employee

Signature _____ Title _____

Print Name: _____

Date _____

Non-Personal Disclosure Form

Company Name: _____

You are required to provide an explanation for any 'yes' answers. Include date, charge, agency, location, disposition, explanation as applicable. Use the attached page for your explanations.

Disclosure Items

		Y	N
a.	Has the entity ever entered into any settlement agreement with any federal or state agency?	<input type="checkbox"/>	<input type="checkbox"/>
b.	Has the entity had a license or registration denied, suspended or revoked by a financial services or securities licensing agency in this state or any state, district, territory of the United States or any foreign country in the preceding 10 years?	<input type="checkbox"/>	<input type="checkbox"/>
c.	Has the entity made a compromise with creditors within the past 10 years or filed a bankruptcy petition or been subject of an involuntary bankruptcy petition?	<input type="checkbox"/>	<input type="checkbox"/>
d.	Has a bonding company ever denied, paid out on, or revoked a bond for the entity?	<input type="checkbox"/>	<input type="checkbox"/>
e.	Does the entity have any unsatisfied judgments or liens?	<input type="checkbox"/>	<input type="checkbox"/>
f.	Has the entity ever had a privileged or professional license in any state denied, suspended or revoked?	<input type="checkbox"/>	<input type="checkbox"/>
g.	Is the entity subject to any pending actions that could result in a 'yes' answer to any of the above questions?	<input type="checkbox"/>	<input type="checkbox"/>
h.	Does the entity have any civil suits or actions pending?	<input type="checkbox"/>	<input type="checkbox"/>

By signing below, I represent that I personally have completed this form and verify the information contained herein is accurate.

Signature

_____ Title: _____

Print Name: _____ Date: _____

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Personal Disclosure Form

Required for the Qualified Employee and all natural persons with an ownership interest of 25% or more.

Employee Name: _____

Company Name: _____

Designation:

_____ Licensee or >25% owner, if natural person

_____ Qualified Employee

You are required to provide an explanation for any 'yes' answers. Include date, charge, agency, location, disposition, explanation as applicable. Use the attached page for your explanations.

Disclosure Items

	Y	N
a. Have you ever been arrested, charged, convicted of or pled guilty or nolo contendere (no-contest) to any felony or misdemeanor (excluding traffic citations) in a domestic, foreign or military court? Please explain in detail any arrests/convictions, including the jurisdictions and dispositions. Also, you must disclose sealed or expunged convictions. Traffic violations resulting in arrest must be disclosed.	<input type="checkbox"/>	<input type="checkbox"/>
b. Have you ever entered into any settlement agreement with any federal or state agency?	<input type="checkbox"/>	<input type="checkbox"/>
c. Have you or any company in which you have ever held an ownership interest had a license or registration denied, suspended or revoked by a financial services or securities licensing agency in this state or any state, district, territory of the United States or any foreign country in the preceding 10 years?	<input type="checkbox"/>	<input type="checkbox"/>
d. Have you made a compromise with creditors within the past 10 years or filed a bankruptcy petition or been subject of an involuntary bankruptcy petition for an organization under your control?	<input type="checkbox"/>	<input type="checkbox"/>
e. Has a bonding company ever denied, paid out on, or revoked a bond for you or any company in which you are or have ever been an owner?	<input type="checkbox"/>	<input type="checkbox"/>
f. Do you or any company in which you have ever held an ownership interest have any unsatisfied judgments or liens?	<input type="checkbox"/>	<input type="checkbox"/>
g. Do you have a relative that is or has been associated with the business? (NRS 645B.0131 Relative means a spouse or any other person related within the second degree by blood or marriage)	<input type="checkbox"/>	<input type="checkbox"/>
h. Have you or any company in which you have ever held an ownership interest had a civil or criminal record expunged or sealed by a court order?	<input type="checkbox"/>	<input type="checkbox"/>
a. Have you ever had a privileged or professional license in any state denied, suspended or revoked?	<input type="checkbox"/>	<input type="checkbox"/>
b. Are you subject to any pending actions that could result in a 'yes' answer to any of the above questions?	<input type="checkbox"/>	<input type="checkbox"/>
c. Do you have any civil suits or actions pending?	<input type="checkbox"/>	<input type="checkbox"/>

By signing below, I represent that I personally have completed this form and verify the information contained herein is accurate.

Signature

_____ Title: _____

Print Name: _____ Date: _____

PERSONAL DISCLOSURE FORM EXPLANATION PAGE

YOU ARE REQUIRED TO EXPLAIN IN DETAIL ANY “YES” ANSWERS ON THE DISCLOSURE FORM.

INCLUDE ARREST DATES, CHARGES, JURISDICTION AND DISPOSITIONS FOR ARRESTS
Pursuant to NRS 179.259 (3), sealed records can be considered for purposes of obtaining a professional license.

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KENNY C. GUINN
Governor

STATE OF NEVADA
DEPARTMENT OF BUSINESS AND INDUSTRY
DIVISION OF MORTGAGE LENDING
400 W. KING STREET, SUITE 101
CARSON CITY, NEVADA 89703
(775) 684-7060 Fax (775) 684-7061
www.mld.nv.gov

SYDNEY H. WICKLIFFE, C.P.A.
Director

SCOTT E. BICE
Commissioner

CHILD SUPPORT INFORMATION

Please mark the appropriate response (failure to mark one of the them will result in denial of the application).

_____ 1. I am not subject to a court order for the support of a child.

_____ 2. I am subject to a court order for the support of one or more children and am in compliance with the order or am in compliance with a plan approved by the District Attorney or other public agency enforcing the order for the repayment of the amount owed pursuant to the order.

_____ 3. I am subject to a court order for the support of one or more children and am not in compliance with the order of a plan approved by the District Attorney or other public agency enforcing the order for the repayment of the amount owed pursuant to the order.

Applicants Name (printed)

Social Security Number

Signature of Applicant

Date